# Polioptila nigriceps

Habitat Suitability Model (HSM) assessment metrics and metadata Common name: Black-capped Gnatcatcher Grank: G5 - Secure Date: 31 Aug 2021; Code: polinigr-yr (EGT\_ID: 101513)

This model was developed for the Arizona Game and Fish Department. This HSM was modeled using the algorithms in Table 1 using R<sup>4</sup>, incorporating the number of known and background locations indicated in Table 2. We validated the model by jackknifing<sup>5,6,7</sup> by spatial grouping and testing 50 groups. Table 3 reports the validation statistics for these jackknifing runs.

Expert reviewers indicated this algorithm performed the best: rf. The reported TSS (above and Table 3b) is based on the reviewer-defined threshold for this model. Some data are provided for all models run, but the focus of this metadata report will be on this model chosen by expert review.

Table 1. These algorithms were created for review. If multiple passed review, those were ensembled. The specific implementations are listed in the package column.

Name	Code	R package
Random Forest	rf	randomForest
Extreme Gradient Boosting	$\operatorname{xgb}$	xgboost

Table 2. Input statistics. Presence locations may be based on point observations buffered by their spatial accuracy and polygons with nearby locations grouped together. Environmental conditions are randomly subsampled within each of these groups as noted to generate the number of presence inputs (by tree for rf; for entire model for others). Background points are placed throughout model except for presence locations.

Sample	Count
Presence locations (groups)	59
Subsamples within groups	5
Total presence inputs	295
Background inputs - rf	295
Background inputs - xgb	295

Table 3. Mean validation statistics for jackknife trials (+/- standard deviation). AUC = area under the ROC curve, Sens = sensitivity, Spec = specificity, TSS =True Skill Statistic<sup>8,9,6</sup>.

alg	AUC	Sens	Spec	TSS
rf	0.94(0.1)	0.87(0.3)	0.88(0.11)	0.76(0.33)
xgb	0.94(0.12)	0.94(0.1)	0.69(0.4)	0.62(NA)

Table 3b. Validation statistics for expert-chosen algorithm and threshold.  $TSS = True Skill Statistic^8$ .

alg	thresh	TSS
rf	0.95	0.90

Precipitation of Wettest Quarter Canopy 100-cell mean Dist to Carbonate Residual Material Upper slope (warm) 10-cell mean Mean Temperature of Coldest Quarter Dist to Non-Carbonate Residual Material Dist to fresh marsh Dist to woody wetland Dist to stream poly Growing Degree Days Valley 10-cell mean Mean Temperature of Warmest Quarter Annual Mean Temperature Elevation Annual Precipitation Dist to lake or pond Dist to Fine Coastal Zone Sediment Upper slope (neutral) 10-cell mean Woody wetlands 100-cell mean Climatic Water Deficit Roughness 100-cell circle Lower slope (warm) 10-cell mean Coniferous forest cover 100-cell mean Dist to Silicic Residual Material Topographic postion index 10-cell radius Upper slope (warm) 1-cell mean Precipitation of Driest Quarter Dist to fresh waters Woody wetlands 10-cell mean Vallev 1-cell mean Lower slope (warm) 1-cell mean Valley (narrow) 10-cell mean Lower slope (flat) 10-cell mean 0.4 0.6 lower  $\rightarrow$  greater

Topographic postion index 100-cell radius



algorithm

0.8

1.0

Figure 1. Relative importance of environmental variables based on the full model. Importance values are extracted from each algorithm and plotted in descending order based on an average of all algorithms (grey line). Note each algorithm has variable removal rules (see Appendix 2); a missing point indicates the variable was not used by that algorithm. See Appendix 1 for variable descriptions.



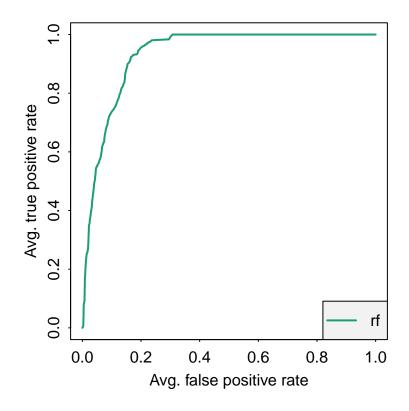


Figure 2. ROC plot for all 50 validation runs, averaged along cutoffs, for each modeling algorithm.

Species distribution model outputs display the probability (0-1) of a location (i.e. stream reach or raster cell) having similar environmental conditions in comparison to known presence locations. No model will ever depict sites where a targeted element will occur with certainty, it can *only* depict locations it interprets as appropriate habitat for the targeted element. The delineation of suitable habitats is made by the selection of a threshold value, where locations with values above the threshold are designated as likely suitable habitat, and those with values below the threshold may be unsuitable. Threshold values are often statistically calculated. SDMs can be used in many ways and the depiction of appropriate habitat should be varied depending on intended use. For targeting field surveys, an SDM may be used to refine the search area; users should always employ additional GIS tools to further direct search efforts. A lower threshold depicting more area may be appropriate to use in this case. For a more conservative depiction of suitable habitat that shows less area, a higher threshold may be more appropriate. Different thresholds for this model (full model) are described in Table 4.

Table 4. Thresholds<sup>11,12</sup> calculated from the final model. The Value column reports the threshold; Groups indicates the percentage (number in brackets) of groups within which at least one point was predicted as suitable habitat; Pts indicates the percentage of PR points predicted having suitable habitat. Total numbers of groups and presence points used in the final model are reported in Table 1.

Code	Value	Groups	Points
Algorithm	= rf		
eqSS	0.641	100(59)	98
maxSSS	0.598	100(59)	99
MTP	0.296	100(59)	100
MTPGP	0.947	100(59)	44
TenPctile	0.827	100(59)	90
ROC	0.598	100(59)	99

Code	Threshold full name	Threshold description
eqSS	Equal sensitivity and specificity	The probability at which the absolute value of the
		difference between sensitivity and specificity is min-
		imized.
$\max$ SSS	Maximum of sensitivity plus specificity	The probability at which the sum of sensitivity and
		specificity is maximized.
MTP	Minimum Training Presence	The highest probability value at which $100\%$ of input
		presence points remain classified as suitable habitat.
MTPGP	Minimum Training Presence by Group	The highest probability value at which $100\%$ of input
		groups have at least one presence point classified as
		suitable habitat.
TenPctile	Tenth percentile of training presence	The probability at which 90% of the input presence
		points are classified as suitable habitat.
ROC	ROC plot upper left corner	The point on the ROC curve with the shortest distance
		to the top-left corner $(0,1)$ of the ROC plot.

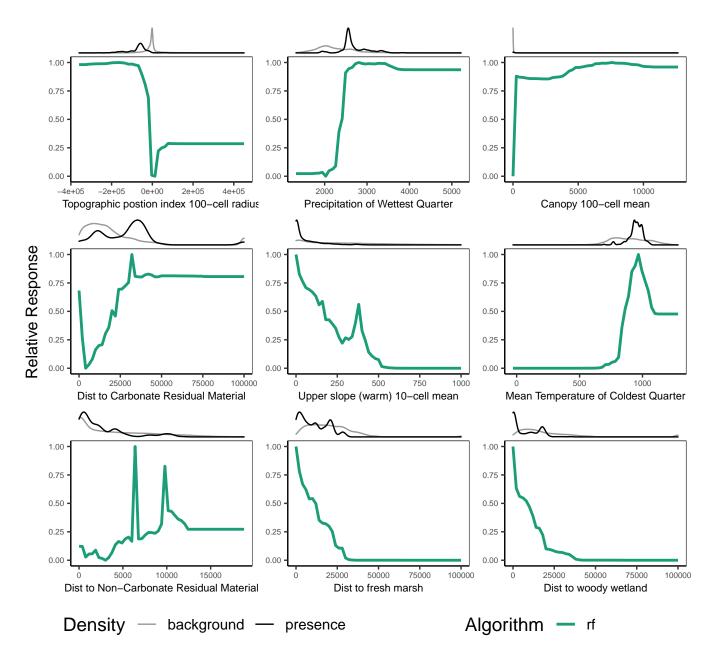


Figure 3. Partial dependence plots for the 9 environmental variables with the most influence on the models (averaged). Each plot shows the effect of the variable on the probability of appropriate habitat with the effects of the other variables removed<sup>3</sup>. The x-axis covers the range of values for the variable assessed; the y-axis represents the effect between the variable and model response. Peaks in the line indicate where this variable had the strongest influence on predicting appropriate habitat. Decreasing lines from left to right show a negative relationship overall; increasing lines, positive. The distribution of each category (thin red = Background points, thick blue = Presence points) is depicted at the top margin. See Appendix 1 for variable descriptions.

# Model Evaluation and Intended Use

All SDMs are sensitive to data inputs and methodological choices. Table 5 presents scoring of modeling factors based on the model evaluation rubric presented in Sofaer et al.  $2019^{13}$ .

Table 5. Model evaluation results based on Sofaer et al. (2019). Scores can be attributed as ideal, acceptable, or interpret with caution.

Category	Metric	Score	Notes
		A ( ]]	Heritage Network data augmented with outside data which may or may not be
Species Data	Presence data quality	Acceptable	vetted for accuracy or weighted for spa- tial representation.
	Absence/Background Data	Acceptable	Background points randomly placed throughout modeling area excluding
		Acceptable	species locations.
	Evaluation Data	Acceptable	Models are validated by jackknifing (i.e leave-one-out).
Environmental Predictors	Ecological and predictive relevance	Acceptable	Selection of predictor variables were based on previous modeling experience by the Natural Heritage Network and
	Spatial and temporal alignment	Acceptable	subsetted using variable importance. Reasonable attempts to align predictor and presence data were made.
	Algorithm choice	Acceptable	Algorithms were carefully chosen for modeling rare species.
Modeling Process	Sensitivity	Acceptable	Ensemble used to minimize algorithm sensitivity.
	Statistical rigor	Acceptable	Collinearity of predictors recognized and addressed; presence points grouped to minimize sample bias and minimize spa- tial autocorrelation boost during valida- tion; other assumptions recognized and considered.
	Performance	Acceptable	Mean TSS for expert-derived thresholds $\geq 0.6$ .
	Model review	Acceptable	Model was reviewed by regional, taxo- nomic experts.
Model Products	Mapped products	Acceptable	Continuous models plus thresholded models available to users.
model 1 founds	Interpretation support products	Ideal	All standards met.
	Reproducibility	Ideal	All standards met.
Iterative		Interpret with Caution	Model not re-run with new or modified data.

### Model Comments

The standard variables (nlcdopn1, nlcdopn10, nlcdopn100, impsur1, impsur10, impsur100, ntm\_1\_01, ntm\_1\_02, ntm\_1\_06, ntm\_1\_08, ntm\_1\_09, ntm\_2\_01, ntm\_2\_02, ntm\_2\_05, ntm\_2\_06, ntm\_3\_01, ntm\_3\_03, ntm\_3\_09, ntm\_3\_12, ntm\_4\_01, ntm\_4\_02, ntm\_4\_03, ntm\_4\_05, ntm\_4\_06, ntm\_5\_01, ntm\_6\_01, ntm\_6\_02, ntm\_6\_03, ntm\_6\_04, nlcdshb1, nlcdshb10, nlcdshb100, soil\_ph, poresize, clay, bulkdens) were excluded from this model.

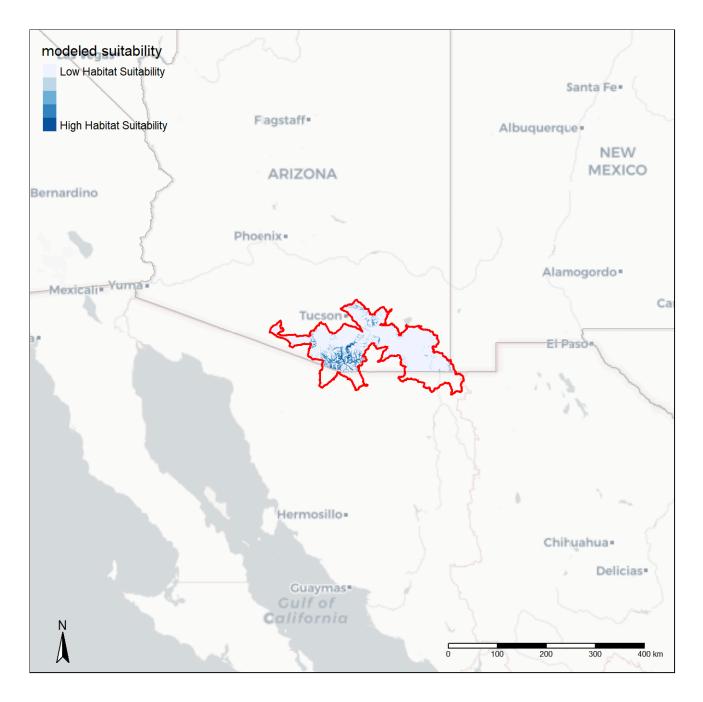


Figure 4. A generalized view of the model predictions throughout the modeled area. If an ensemble was created this map shows simply an average of all model predictions. State boundaries are depicted as a thin gray line. The modeled area is outlined in red. Basemap: CartoDB.Positron (©OpenStreetMap, contributors: ©CARTO).

This distribution model would not have been possible without data sharing among organizations. Other data sets and sources may have been evaluated, but this final model includes data from these sources:

• Arizona Game and Fish Department

This model was built using a methodology developed through collaboration among the Florida Natural Areas Inventory, the New York Natural Heritage Program, the Pennsylvania Natural Heritage Program, and the Virginia Natural Heritage Program, all member programs of the NatureServe Network. It is one of a suite of species distribution models developed using the same methods, scripts, and environmental data sets. Our goal was to be consistent and transparent in our methodology, validation, and output.

 Please cite this document and its associated SDM as: NatureServe and Heritage Network Partners. 2021. Species distribution model for Black-capped Gnatcatcher (*Polioptila nigriceps*). Created on 31 Aug 2021. Arlington, VA with Network partners from VA, PA, and NY.

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## Appendix 1. Descriptions for environmental variables included in model.

Variable Name	Variable Description
Annual Mean Temperature	Annual Mean Temperature
Annual Precipitation	Annual Precipitation
Canopy 100-cell mean	mean percent canopy cover in 100-cell radius (30 meter cells)
Climatic Water Deficit	Climatic Water Deficit
Coniferous forest cover 100-cell mean	mean coniferous forest cover within 100 cell radius
Dist to Carbonate Residual Material	Euclidean distance to surficial geology type: Carbonate Residual Material
Dist to Fine Coastal Zone Sediment	Euclidean distance to surficial geology type: Alluvium and Fine-Textured Coastal Zone Sediment
Dist to Non-Carbonate Residual Material	Euclidean distance to surficial geology type: Non-Carbonate Residual Material
Dist to Silicic Residual Material	Euclidean distance to surficial geology type: Silicic Residual Material
Dist to fresh marsh	Distance to freshwater emergent wetland
Dist to fresh waters	Euclidean distance to nearest stream, river, or other inland waterbody (excluding estuaries)
Dist to lake or pond	Euclidean distance to nearest river or other inland waterbody (features represented by polygons)
Dist to stream poly	Euclidean distance to nearest stream (features represented by polygons)
Dist to woody wetland	Distance to forested palustrine wetland
Elevation	Elevation in decimeters (originally in meters)
Growing Degree Days	Growing Degree Days
Lower slope (flat) 10-cell mean	mean percent Lower slope (flat) in 10-cell mean (30 meter cells)
Lower slope (neutral) 10-cell mean	mean percent Lower slope (neutral) in 10-cell mean (30 meter cells)
Lower slope (warm) 1-cell mean	mean percent Lower slope (warm) in 1-cell mean (30 meter cells)
Lower slope (warm) 10-cell mean	mean percent Lower slope (warm) in 10-cell mean (30 meter cells)
Mean Temperature of Coldest Quarter	Mean Temperature of Coldest Quarter
Mean Temperature of Warmest Quarter	Mean Temperature of Warmest Quarter
Precipitation of Driest Quarter	Precipitation of Driest Quarter
Precipitation of Wettest Quarter	Precipitation of Wettest Quarter
Roughness 100-cell circle	The standard deviation of elevation values within a circular neighborhood with a radius of 100 cells.
Topographic postion index 10-cell radius	Topographic position index using elevation values within a circular neighborhood with a radius of 10 cells.
Topographic postion index 100-cell radius	Topographic position index using elevation values within a circular neighborhood with a radius of 100 cells.
Upper slope (neutral) 10-cell mean	mean percent Upper slope (neutral) in 10-cell mean (30 meter cells)
Upper slope (warm) 1-cell mean	mean percent Upper slope (warm) in 1-cell mean (30 meter cells)
Upper slope (warm) 10-cell mean	mean percent Upper slope (warm) in 10-cell mean (30 meter cells)
Valley (narrow) 1-cell mean	mean percent Valley (narrow) in 1-cell mean (30 meter cells)
Valley (narrow) 10-cell mean	mean percent Valley (narrow) in 10-cell mean (30 meter cells)
Valley 1-cell mean	mean percent Valley in 1-cell mean (30 meter cells)
Valley 10-cell mean	mean percent Valley in 10-cell mean (30 meter cells)
Woody wetlands 10-cell mean	mean woody wetland cover within 10 cell radius
Woody wetlands 100-cell mean	mean woody wetland cover within 100 cell radius

Appendix 2. Model details for reproducibility

- All R Scripts are available at github
- The repository version (repo head) used for this run was: e64daa9979d4eb0a3f7dd907c78173b2b87a3c8c
- The model run name was: polinigr-yr\_20210813\_020244
- R version: R version 4.1.0 (2021-05-18)
- Random seed for each model: 813020244

Table 1.	Algorithm	-specific	details
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Name	value
Algorithm = rf	
number of predictors used	34
mtry	4
number of trees	2000
type of trees	classification
Algorithm = xgb	
number of predictors used	18
iterations	100
eta	0.4
max depth	3
gamma	0
colsample by tree	0.8
min child weight	1
subsample	0.5
objective	binary:logistic

Table 2. Reviewer star ratings for all model outputs of the accepted model version. More than one row for an algorithm indicates more than one reviewer. Average star ratings of 3 or more triggered the use of that algorithm in the final output.

model version	algorithm	star rating
polinigr-yr_20210813_020244	$\mathbf{rf}$	3